JAP7 Rec'd PCT/PTO 02 JUN 2006

SUBSTITUTE PTO-1390

TRANSMITTAL LETTER TO THE UNITED STATES **DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER 00786-608US1 MGH 2476

U.S. APPLICATION NO (If Incum, see 17

TERNATIONAL APPLICATION NO. PCT/US2004/041300

INTERNATIONAL FILING DATE 9 December 2004

PRIORITY DATE CLAIMED 10 December 2003

| TITLE OF INVENTION Self-Assembling Nanoparticle Conjugates | | | | | | | |
|---|-------------|--|--|--|--|--|--|
| APPLICANT(S) FOR DO/EO/US Lee Josephson, Ralph Weissleder and J. Manuel Perez | | | | | | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | | | | | |
| 1. | \boxtimes | This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. | | | | | |
| 2. | | This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. | | | | | |
| 3. | | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. | | | | | |
| 4. | | The US has been elected (Article 31). | | | | | |
| 5. | \boxtimes | A copy of the International Application as filed (35 U.S.C. 371(c)(2)) | | | | | |
| | | a. is attached hereto (required only if not communicated by the International Bureau). | | | | | |
| | | b. has been communicated by the International Bureau. c. is not required, as the application was filed in the United States Receiving Office (RO/US). | | | | | |
| 6. | | An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). | | | | | |
| | | a. is attached hereto. | | | | | |
| 7 | Ø | b. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) | | | | | |
| 7. | ⋈ | a. are attached hereto (required only if not communicated by the International Bureau). | | | | | |
| | | b. have been communicated by the International Bureau. | | | | | |
| | | c. have not been made; however, the time limit for making such amendments has NOT expired. d. have not been made and will not be made. | | | | | |
| 8. | | An English language translation of amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). | | | | | |
| 9. | | An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). | | | | | |
| 10. | | An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | | | | |
| | Iten | ns 11 to 20 below concern document(s) or information included: | | | | | |
| 11. | | An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98. | | | | | |
| 12. | | An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included. | | | | | |
| 13. | X | A preliminary amendment. | | | | | |
| 14. | | An Application Data Sheet under 37 C.F.R. 1.76. | | | | | |
| 15. | | A substitute specification. | | | | | |
| 16. | | A power of attorney and/or change of address letter. | | | | | |
| 17. | | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 C.F.R. 1.821 - 1.825. | | | | | |
| 18. | | A second copy of the published international application under 35 U.S.C. 154(d)(4). | | | | | |
| 19. | | A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). | | | | | |
| | | | | | | | |
| | | CERTIFICATE OF MAILING BY EXPRESS MAIL Express Mail Label No. EV 366 532 572 US Date of Deposit: June 2, 2006 | | | | | |

"NP9 Rec'd PCT/PTO 02 JUN 2006"

SUBSTITUTE PTO-1390

| U.S. APPLICATION | 75 814 | 7 €5.R. 1.5) | INTERNATIONAL A PCT/US200 | | ATTORNEY'S DO | |
|---|--|---|--|---|------------------------|--------------|
| 20. Other items o Copy of | | application no. | PCT/US2004/041300 | | | |
| The following | g fees have been sub | mitted | | <u></u> | CALCULATIONS | PTO USE ONLY |
| 21. 🛭 Bas | sic national fee (37 (| CFR 1.192(a)) | | \$300 | \$300.00 | |
| If the written opini by the IF | PEA/US indicates al | /US or the inter I claims satisfy | national preliminary examina provisions of PCT Article 33 | (1)-(4)\$0 | \$200.00 | |
| If the written opini IPE Search fee (37 CF International Search pre | A/US indicates all of R 1.445(a)(2)) has be critional Searching the Report prepared by viously communicated. | r the internation claims satisfy preen paid on the Authority by an ISA other ted to the US by | al preliminary examination re ovisions of PCT Article 33(1 international application to the than the US and provided to the IB | 0-(4)\$0 ne USPTO as an\$100 the Office or\$400 | \$100.00 | |
| All other situation | | 1, 22 and 23 = | | | \$600.00 | |
| sequence listin | e for specification aring in compliance widium) (37 CFR 1.49) for each additional | | | | | |
| Total Sheets | Extra Sheets | Number of each additional 50 or fraction thereof (round up to a whole number) RATE X \$250 | | | | |
| -100 = | /50= | | | \$0.00 | | |
| | of for furnishing any ment of the national | \$0.00 | | | | |
| CLAIMS | | BER FILED | NUMBER EXTRA | RATE | | |
| Total Clair | ms 5 | 2 - 20 = | 32 | x \$50 | \$1,600.00 | |
| Independent (| | 5 - 3 = | 2 | x \$200 | \$400.00 | |
| MULTIPLE DEPI | ENDENT CLAIM(S | S) (if applicable) | | + \$360 | \$0.00 | |
| | | | | E CALCULATIONS = | | |
| Applicant clair | ns small entity statu | \$1,300.00 | | | | |
| | | | | SUBTOTAL = | \$1,300.00 | |
| Processing fee of Spriority date (37 C | \$130 for furnishing C.F.R. 1.492(i)) | \$0.00 | | | | |
| , , , , , | | | | | | |
| Fee for recording | the enclosed assignr | nent (37 C.F.R. | 1.21(h)). The assignment mi | TAL NATIONAL FEE = | | |
| by an appropriate | cover sheet (37 C.F. | \$0.00 \$1,300.00 | | | | |
| | <u> </u> | | 1012 | AL FEES ENCLOSED = | Amount to be refunded: | \$0.00 |
| | | | | | Amount to be charged: | \$0.00 |

SUBSTITUTE PTO-1390

| a. 🛛 | A check in the amount of \$1,300.00 to cover the above fees is enclosed. | 'AP9 Rec'd PCT/PTO 02 JUN 2006 | | | | | |
|--------|---|--------------------------------|--|--|--|--|--|
| ъ. 🗌 | Please charge my Deposit Account No. 06-1050 in the amount of \$0.00 to cover the above fees. A duplicate copy of this sheet is enclosed. | | | | | | |
| c. 🛛 | The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 06-1050. A duplicate copy of this sheet is enclosed. | | | | | | |
| d. 🔲 | Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. | | | | | | |
| | NOTE: Where an appropriate time limit under 37 C.F.R. 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b) must be filed and granted to restore the International Application to pending status. | | | | | | |
| | | | | | | | |
| SEND A | LL CORRESPONDENCE TO: | John T. Kondelf | | | | | |
| | 200 Contract No. 2010 | signature / | | | | | |
| | PTO Customer No: 26161 | John T. Kendall, Ph.D. | | | | | |
| | | NAME | | | | | |
| | | 50,680 | | | | | |
| | | REGISTRATION NUMBER | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | İ | | | | | |

21346265.doc